15A

## **NEVADA STATE BOARD OF PHARMACY**

985 Damonte Ranch Pkwy Suite 206, Reno, NV 89521

## **APPLICATION FOR NEVADA WHOLESALER LICENSE**

\$500.00 Fee made payable to: Nevada State Board of Pharmacy (non-refundable and not transferable money order or cashier's check only)

Application must be printed legibly or typed

Any misrepresentation in the answer to any question on this application is grounds for refusal or denial of the application or subsequent revocation of the license issued and is a violation of the laws of the State of Nevada.

New Wholesaler	☐ Ownership Change ☐ Name C (Please provide current license num	hange □ Location Change sher if making changes: WH)
Non Publicly Trade	orporation – Page 1,2,3,4 □ ed Corporation – Page 1,2,3,5a,5 eck box for type of ownership and comple	b ☐ Sole Owner – Page 1,2,3,7
GENERAL INFORM	MATION	
Facility Name: 1 C	Click Logistics	
	1285a Southern Way, Sparks NV	89431
	59 Damonte Ranch Pkwy Unit B-4	
City:	State:_Zip Code	: Telephone:
	Fax:	
Toll Free Number:	(877) 312-5425	
		www.1clicklogistics.com
Facility Manager:	James Applebach	
Professional qualific	cations and experience of facility man	ager: Over 25 Years in logistics
Types of licensed or	utlets or authorized persons firm will s	erve:
	□ Practitioners □	Hospitals
Type of Products to	be handled or wholesaled be firm:	
☐ Poisons or Chen	tances (include copy of DEA)	☐ Hypodermic Devices ☐ Veterinary Legend Drugs

Yes □ No 🗷

## APPLICATION FOR NEVADA WHOLESALER LICENSE

This	page mus	t be subm	<u>iitted for all type:</u>	s of owner	<u>ship.</u>						
	•		VAWD certified I	•	,	١	∕es [	No	ß		
			nufacturer by th copy of the FDA		on)	١	res E	□ No	X		
busir			d an interest ow a are licensed by			another po	litica		D.		
			our company ha dispensed or dis				o ph	armace	∍utic	cal	
	1) Eve	Nakaoka									
	/ <u></u> N	lame Asahi-Inte	ecc USA, Inc	Add	dress 3002 Dow Ave #2	212, Tustin, (	CA S	92780			-
		Susiness				· · · · · · · · · · · · · · · · · · ·					-
	2)	lame		Add	dress						-
		Business				· · · -					-
	3)	lame		Add	dress						-
		Business									-
	4)	lame		Add	dress						-
	Е	Business									-
With	in the las	t five (5) y	years:								
1)	Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest or partners with any interest, ever been charged, or convicted of a felony or gross misdemeanor (including by way of a guilty plea or no contest plea)?  Yes  No.						No	<b>□</b> 3			
2)	10% interest or partners with any interest, ever been denied a license,					No	Z.				
3)	permit or certificate of registration?  Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest) or partners with any interest, ever been the subject of an administrative action or proceeding relating to the										

pharmaceutical industry?

## This page must be submitted for all types of ownership.

4)	10% interest) or par guilty or entered a p	, any owner(s), shareholder(s) or tners with any interest, ever been lea of nolo contendere to any offe trolled substances?	found guilty, pled	Yes □	No 🏻	
Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest or partners with any interest, ever surrendered a license, permit or certificate of registration voluntarily or otherwise (other than upon voluntary close of a facility)?						
Copie		through 5 is "yes", a signed state that identify the circumstance or cd.				
correc	t. I understand that	swers given in this application and any infraction of the laws of the S wholesaler may be grounds for th	tate of Nevada regulat	ing the	rue and	
certify accura servar	, under penalty of penalty of penalte and correct. I here and employees, t	answers and statements and know rjury, that the information furnishe eby authorize the Nevada State B o conduct any i nvestigation(s) of ation and reputation, as it may de	ed on this application a loard of Pharmacy, its the business, profession	re true, agents, onal, soc	ial and	
		- Com				
Origin	al Signature of Person	on Authorized to Submit Application	n, no copies or stamp	S		
	James Applebach	5 <b>8</b> 0	7/2/19			
Print N	lame of Authorized	Person	Date			
Board	Use Only	Received:	Amount:			

# **OWNERSHIP IS A NON-PUBLICY TRADED CORPORATION**

		tion: Nevada			
Pare	nt Company i	fany:n/a			
Corp	oration Name	:1 Click Logistic	cs		
		95 Damonte Rar			
City:	Reno		State:Zip:	Nevada, 89	9521
		312-5425			
		Lance Brown			
For a		n non publicly traded, sons to whom the sha		_	on?
	a)	Name	Address		
	b)	Name	Address		
	-1		Address		
	c)	Name	Address		
	d)	Name			
		Name	Address		
reco	r <b>d form.</b> Dow	s who are stockholden nload the form from the r the documents for all	e website under	the "New Applic	a personal history ations" tab. The forms
2)	Provide the	number of shares issu	ed by the corpo	12 ration	,000
3)	What was th	ne price paid per share	÷?\$1.0	0	
4)	What date of	lid the corporation actu	ually receive the	cash assets? _	7/1/19
5)	Provide a co	opy of the corporation's	s stock register e	videncing the a	bove information

### OWNERSHIP IS A PARTNERSHIP.

List names of 4 largest partners and percentage of ownership:

Name: Lance E	Brown		%:33
Name:James /	Applebach		%: 33
Brian S	heridan		%: <u>33</u>
Name:			%:%
Partnership Name	1 Click Logistics	3	
Mailing Address:	95 Damonte Ranc	ch Parkway	
City: Reno		State:NV	Zip: 89521
Telephone: 8	77-312-5425	Fax:	
Contact Person:			

## Include with the application for a partnership

Complete personal history record for each stockholder. Download the form from the website under the "New Applications" tab. The forms are available under the documents for all types of businesses. Must be original signature(s), no copies or stamp.

<u>Designated representative form.</u> Download the form from the website under the "New Applications" tab. The forms are available under the *documents for all types of businesses*.

The designated representative (as defined in NAC 639.5005) needs to complete the form, submit the required 6000 hours of employment with a pharmacy or wholesaler and will be required to take and pass an examination on law **prior** to the license being issued. Upon receipt of the completed application, a law book and requirements for taking the exam will be provided to the designee. .

\*\*\*If VAWD certified by NABP, fingerprints, list of employees and bond are not required. Please provide a copy of your VAWD certification.

\*\*\*If you are a FDA registered manufacturer, fingerprints, list of employees and bond are not required. Please provide a copy of your FDA registration.

Submit fingerprints - Please refer to Page 8 for Fingerprint Submission Instructions.

**15B** 

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\$500.00 Fee made payable to: Nevada State Board of Pharmacy

(non-refundable and not transferable money order or cashier's check only)

Application must be printed legibly or typed

Any misrepresentation in the answer to any question on this application is grounds for refusal or denial of the application or subsequent revocation of the license issued and is a violation of the laws of the State of Nevada.

Simple Control of the	
☑New Wholesaler	☐ Ownership Change ☐ Name Change ☐ Location Change (Please provide current license number if making changes: WH)
Non Publicly Trac	orporation – Page 1,2,3,4
GENERAL INFOR	MATION
Facility Name: Se	cura Bio, Inc.
Physical Address:	1995 Village Center Cir, Suite 128
Mailing Address: _	1995 Village Center Cir, Suite 128
City: Las Vegas, N	State: Zip Code: 89134-6360 Telephone: (858) 251-14
	Fax: <u>N/A</u>
Toll Free Number:	N/A
E-mail: htamburini@	Securabio.com Website: www.securabio.com
Facility Manager:	Hector Tamburini
Professional qualifi	cations and experience of facility manager: See attached.
Types of licensed of	utlets or authorized persons firm will serve:
☑ Pharmacies ☐ Other:	☐ Practitioners ☐ Hospitals ☐ Wholesalers
Type of Products to	be handled or wholesaled be firm:
□ Poisons or Che	ceuticals, Supplies or Devices  micals  U Hypodermic Devices  U Veterinary Legend Drugs tances (include copy of DEA)

## APPLICATION FOR NEVADA WHOLESALER LICENSE

This page must be submitted for all types of ownership	This page	must be	submitted	for all types	of ownership
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			/AWD certified by NABI copy of the certificate.)	⊃γ	Yes □	No !	2		
			nufacturer by the FDA? copy of the FDA registra		Yes 🗹	No	Ø		
busin	_	r facility which	d an interest ownership are licensed by the Sta	_			a		
List th	ne top	4 suppliers ye	our company has been a dispensed or distributed	_				cal	
	1)	N/A							
	• /	Name	F	Address					-
		Business							-
	2)	N/A Name		Address					-
				ruui ess					_
	Business 3) N/A								
	<i>ا</i>	Name	A	Address					-
		Business							-
	4)	N/A							_
		Name	F	Address					
		Business							-
Withi	n the	last five (5) y	/ears:						
1)	Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest or partners with any interest, ever been charged, or convicted of a felony or gross misdemeanor (including by way of a guilty plea or no contest plea)?  Yes  No [							Ø	
2)		Has the corporation, any owner(s), shareholder(s) or partner(s) with at leas							
		•	artners with any interest e of registration?	t, ever been denied a	license,	Yes		No	
3)	10%	interest) or p	on, any owner(s), sharel artners with any interes	t, ever been the subjec		st			
of an administrative action or proceeding relating to the pharmaceutical industry?						Yes		No	Ø

This page must be submitted for all types of ownership.

4) Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest) or partners with any interest, ever been found guilty, pled guilty or entered a plea of nolo contendere to any offense federal or state, related to controlled substances?  Yes D									
5)	Has the corporation, any owner(s), shareholder(s) or partner(s) with at least 10% interest or partners with any interest, ever surrendered a license, permit or certificate of registration voluntarily or otherwise (other than upon voluntary close of a facility)?								
Copie	If the answer to question 1 through 5 is "yes", a signed statement of explanation must be attached. Copies of any documents that identify the circumstance or contain an order, agreement, or other disposition may be required.								
I hereby certify that the answers given in this application and attached documentation are true and correct. I understand that any infraction of the laws of the State of Nevada regulating the operation of an authorized wholesaler may be grounds for the revocation of this permit.									
I have read all questions, answers and statements and know the contents thereof. I hereby certify, under penalty of perjury, that the information furnished on this application are true, accurate and correct. I hereby authorize the Nevada State Board of Pharmacy, its agents, servants and employees, to conduct any i nvestigation(s) of the business, professional, social and moral background, qualification and reputation, as it may deem necessary, proper or desirable.									
Origin	al Signature of Person Authorized to Submit Application	on, no copies or stamps							
Brint N	Name of Authorized Person	May 31, 2019 Date / 1							
Board	Use Only Received:	Amount:							

No fingential Dy

## **OWNERSHIP IS A NON-PUBLICY TRADED CORPORATION**

State of Incorporation: Delaware
Parent Company if any: Secura Bio Holdings, Inc.
Corporation Name: Secura Bio, Inc.
Mailing Address: 1995 Village Center Cir, Suite 128
City: Las Vegas State: Zip: NV, 89134-6360
Telephone: (858) 251-1414 Fax: N/A
Contact Person: Hector Tamburini, Sr. Director - Manufacturing, Regulatory and Quality
For any corporation non publicly traded, disclose the following:
1) List any persons to whom the shares were issued by the corporation?
a) See attached ownership information.
Name Address
b)Name Address
c)Name Address
d) Name Address
NOTE: All persons who are stockholders must accurately complete a personal history record form. Download the form from the website under the "New Applications" tab. The forms are available under the documents for all types of businesses.
2) Provide the number of shares issued by the corporation. 0
3) What was the price paid per share? N/A
4) What date did the corporation actually receive the cash assets? N/A
5) Provide a copy of the corporation's stock register evidencing the above information



#### **DESCRIPTION OF OPERATIONS**

Secura Bio, Inc. ("Secura") is a privately-held, Nevada-based, clinical-stage pharmaceutical company that plans to develop and commercialize oncology therapies across the United States. Secura provides FARYDAK (panobinostat) capsules, a multiple myeloma treatment that works at the DNA level and slows the progression of multiple myeloma.

#### **THIRD-PARTY LOGISTICS**

Secura's products are manufactured by a contract manufacturer and distributed to customers by their third-party logistics provider, Integrated Commercialization Solutions, LLC ("ICS"). Secura utilizes the following ICS facility for distribution of product:

Integrated Commercialization Solutions, LLC 420 International Blvd., Suite 500 Brooks, KY 40109

### **CONTRACT MANUFACTURERS**

Secura uses the following contract manufacturer to produce product:

Novartis Farmaceutica S.A. Ronda Santa Maria, 158 Barbera del Valles, Spain, 08210 FEI Number: 3002910506 FEIN Expiration Date: 12/31/2019

#### **PRODUCTS**

As a virtual manufacturer, Secura does not manufacture, distribute or store product at their Nevada facility. At no time do contact manufacturers own Secura's products, contract with Secura's customers, invoice or sell Secura products. Secura's products are not controlled substances. Accordingly, Secura is not required to maintain DEA registration.



#### **OWNERSHIP**

Secura Bio, Inc. ("Secura") is a privately-held, San Diego-based, clinical-stage pharmaceutical company that plans to develop and commercialize oncology therapies across the United States. Secura is a 100% wholly owned subsidiary of Secura Bio Holdings, Inc.

Secura provides FARYDAK (panobinostat) capsules, a multiple myeloma treatment that works at the DNA level and slows the progression of multiple myeloma.

#### **COMPANY INFORMATION**

Secura Bio, Inc. 1995 Village Center Cir, Suite 128 Las Vegas, NV 89134 Phone: (858) 251-1414 Tax ID: 36-4922937

#### **CORPORATE OFFICERS**

Joseph Limber, Chief Executive Officer

: 3 Vista de la Playa La Jolla, CA 92037

SSN: `DOB: '

Place of Birth: USA DL: California,

Mark Spring, Chief Financial Officer

5 Pine Street Coronado: CA 92118 SSN: { }

DOB: ' 3 Place of Birth: USA DL: California, E Brett Lund, Chief Legal Officer
1 Walnut Street, 1
Green Cove Springs, FL 32043

SSN: DOB:

Place of Birth: USA DL: Montana,



Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY "SECURA BIO, INC." IS DULY INCORPORATED

UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND

HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS

OFFICE SHOW, AS OF THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL FRANCHISE TAXES HAVE BEEN ASSESSED TO DATE.

TANYS OF CANADA

7263908 8300 SR# 20191371291 Authentication: 202322248

Date: 02-25-19

## **Hector Tamburini**

1 Glen Ave., Carlsbad, CA 92010

Phone: 7

3 - E-Mail:

<u>mı</u>

Seasoned Pharmaceutical Operations, CMC and Regulatory Affairs professional, with expertise in domestic and international markets for clinical and commercial products in multiple dosage forms and presentations. Experienced in bio/pharmaceutical cGMP manufacturing and supply chain, with 30+ years in big pharma, biotech, diagnostics and consulting roles.

### Experience

Secura Bio, Inc.

Apr 2019 - Present

Sr. Director, Manufacturing, Regulatory & Quality

Apr 2019 - Present

- Manage manufacturing and supply chain for Farydak capsules, relationships with partners and vendors.
- Responsible for Regulatory Affairs, communication with Regulatory Authorities, and for all submissions to FDA, EMA and other health agencies where products are marketed or clinically studied.
- Oversight of Quality activities, implementation of Quality systems and lot release, including managing contract providers.

Prometheus Laboratories Inc., a Nestlé Health Science company (San Diego, CA)

Oct 2014 - Apr2019

Executive Director, Dx Manufacturing/QC

Aug 2017 - Apr 2019

- Manage manufacturing and quality control operations for reagents manufacturing to support an \$ 80 million CLIA laboratory operation.
- · Reorganized group by skills assessment and promotion to seize professional skills and increase accountability.
- Implemented Lean/5 S with remarkable 15% improvement in first pass approval for batch/testing records.
- Revamped scheduling process with a combination of MRP system-MS Excel and trained scheduler to reduce inventory and improve just-in-time manufacturing, with optimization of shelf life and reduction of scraps.

Executive Director, Regulatory Affairs and CMC

Oct 2014 - July 2017

- Managed regulatory submissions for clinical and commercial pharmaceutical products, including a REMS program, generic drugs, BLA/NDA supplements, annual reports and relationships with FDΛ.
- Active role in due diligence and divestiture strategy as the subject matter expert in regulatory, manufacturing
  and supply chain areas.
- Managed a \$ 100 million portfolio contract manufacturing operations for with domestic and international CMOs, including manufacturing and packaging of clinical and marketed products. Serialization of drug products.

#### Spectrum Pharmaceuticals, Inc. (Irvine, CA)

Nov 2010 - Oct 2014

Executive Director, Pharmaceutical Technology & Manufacturing Director, Pharmaceutical Technology & Manufacturing

- Managed supply chain and technical oversight of commercial products (e.g., Zevalin®, Fusilev®, Marqibo®, Folotyn®) with annual sales of \$ 170 million for domestic and international markets.
- Responsible for a team of five managing contract manufacturing operations in US, Canada, Belgium, France, Germany and Japan; technology transfers involving partnerships with Biogen, Bayer AG.
- Led task force to implement inventory management and clinical distribution systems.
- Authored CMC sections for NDA, BLA, IND and IMPD submissions as well as responses to audit questions and supplements.

Page 2

#### Aseptria (Carlsbad, CA)

May 2007 - Oct 2010

Principal Consultant, CMC

- Serviced small pharmaceutical companies providing manufacturing and quality support.
- Participated in massive consulting operation at one of the largest generic pharmaceutical companies in US.
- Hosted a successful FDA inspection at contract manufacturing firm, which resulted in only minor observations and allowed the client firm to revamp its business.

#### Biogen [Idec] (San Diego, CA)

Oct 2000 - May 2007

Director, Pharmaceutical Production and Development

- Led a team of seven with responsibility of contract manufacturing management for commercial and clinical products for company's operations with annual sales over \$ 2 billion.
- Designed a scoring system to evaluate and compare contract manufacturers.
- Member of the team that launched Zevalin® for non-Hodgkin's Lymphoma (NHL) in 2001.
- Designed and implemented a hand-fill operation for aseptic products for preclinical use.

#### Roche (Buenos Aires, Argentina)

Mar 1987 - Sep 2000

Manager, Efferoescent Tablets Unit Manager, Injectables and Oral Liquids Units Microbiologist, Quality Control Laboratory

- Managed high-efficiency production and packaging line to supply domestic and international markets for Redoxon®, Berocca® and Cal-C-Vita®.
- Executed the complete shut down of injectable production unit, managing inventory build-up, transfer of supply to other affiliates and personnel redistribution and attrition.
- Streamlined manufacturing and packaging processes for high-volume lyophilized products for export.
- Implementation of a Lean Manufacturing program, including computerized ERP systems (SAP), JIT (Just-In-Time) and work units.
- Built the In-Process Control laboratory, a precursor of Quality-By-Design (QBD) and PAT (Process Analytical Technology.

#### National Academy of Medicine (Buenos Aires, Argentina)

Mar 1985 - Feb 1987

Clinical Laboratory Analyst (Biochemist)

• Clinical analyses including hematology, blood and urine chemistry, parasitology. Phlebotomy.

#### Education

#### Biochemist (6-years degree)

1980-1985

Specialty Clinical Analyses

University of Buenos Aires, Faculty or Pharmacy and Biochemistry

Page 3

### Languages

English, fluent oral and written Spanish, native, fluent oral and written

### Computers

Microsoft Office (Word, Excel, PowerPoint) Microsoft Project Visual Basic, macros for Excel programming ERP Systems (i.e., BPCS, SAP, MS Great Plains)

## **Professional Affiliations**

PDA, Parenteral Drug Association, Member since 2002 APICS, The Association for Operations Management, Member since 2010

References available upon request

## PERSONAL HISTORY RECORD for Pharmacy, MDEG & Wholesaler

Date 5/30/2019

### **GENERAL INSTRUCTIONS**

Type an answer to every question. If a question does not apply to you, so state with N/A. If space available is insufficient, continue on page 10 or use a separate sheet and precede each answer with the appropriate title. Do not misstate or omit any material fact(s) as each statement made hererin is subject to verification. Applicant must initial each page, as provided in lower right hand corner. By placing his initials on each page, the applicant is attesting to the accuracy and completeness of the information contained on that page.

All applicants are advised that this personal history record is an official document and misrepresentation or failure to reveal information requested may be deemed to be sufficient cause for the refusal or revocation of a license.

All applicants are further advised that an application for a license, finding of suitability or for other action may not be withdrawn without the permission of the licensing agency.

Application for		Pharmaceutica							
	1995 Village	Center Circle, I	ure of Lic Las Veg	ense as, NV 891	34				
	Name and	d Address of Establi	shment fo	or Which Licen	se Is Re	equested			
***************************************		applicable, Name L	Jnder Wh	ich It Is Now O	perated			********	
1. PERSONAL INFO	RMATION:	Litwak	,			Leslie			
Last Name Kalman		First Na	ame			Middle Nar	ne		
Alias(es, Nicknames, Maide	n Name, Other Name	Changes, Legal or	Otherwis	e)				····	
Sunoak Place		Newbu	ıry Park			CA 9132	0		
Present Residence Address 1995 Village Center C		Dates	City s Vega	s		NV 891	State/Zij 34	p	
Present Business Address Pharmacist		Dates	City			5	State/Zip	p	
Occupation 1		os Angeles, CA				Phone: Residence Business		179.7793	· · · · ·
Date of Birth						Busilless	·		*****
54		Place of Birth (City	, County,	State)					
Age	Social S	ecurity Number	_				1	Female	
Brown		•		140lb				Sex 5'7"	
Color of Eyes	Brown Color of Hair	Fair Complexion		Weight		Build		Height	
Scars, tattoos or distin	guishing marks a								
Are you a citizen of the	United States?	Yes X No □	If alie	n, registratio	on No.			***************************************	• • • • • •
lf naturalized, certificat	e No	•••••	• • • • • • • • • • • • • • • • • • • •	Date			•••••	••••••	
Place	•••••			(If natura	alized,	document	must	be verified.)	
2. MARITAL INFOR	MATION:								
Single   Married	X Separated	☐ Divorce	ed 🗆	Widowed		Engage	d 🗆	٨	
					Ap	plicant's i	nitial	DL DT	Page 1

A.	Current Marr	iage			•••••	
		L/a	te	- CI	iv. County at	iu State
	Date of Birth.		Place of	Birth		
	Resident add	ress			••••••••	•••••
			1			
			C			
	Address of en	nployer Street		City	State	Zip
В. Г	Previous Marria	ges: If ever legally se	eparated, divorced, or a	nnulled, indicate	below:	
Name	e of Spouse	Date of Order or Decree	Date of Place of Marriage	Nature of Action	City Cou	unty and State
			~			
	List of names,	current address and Street	telephone numbers of	previous spouses	S: Zip	Telephone
3. F.	AMILY INFORM Children and				-	
			children and adopted o	<u>hildren and give</u> Re	the followi sidence Add	ng information: ress
В.	Child Suppor	t Information:				
٥.	Please	e mark the appropriate	e response:			
	□la	m not subject to a coι	art order for the support	of child.		
	pla	m subject to a court o n approved by the dis the amount owed purs	rder for the support of o strict attorney or other p suant to the order; or	one or more child ublic agency enfo	ren and ar orcing the	n in compliance with a order for the repayment
	the	order or a plan appro	rder for the support of coved by the district attor ount owed pursuant to	rney or other publ the order.	ren and No ic agency cant's initia	enforcing the order for

		cy responsible for enforce		
	Name			
	Address			
	Contact person			
C.	Parents:			
parents	List names, residence address	es, dates of birth and mo	est recent occupation	ns of parents, step-parents,
Jaichts	in-law or legal guardian. If reti	red or deceased, list last	address and occup	ation.
	Name (Maiden) B	irth Date Address		Occupation
ather				
lother				
ather-in-	Law			
fother-in-	Law			
	Brothers and Sisters: List names, residence address their respective spouses. Name (Maiden)			
	reame (maiden)	Birth Date Address		Occupation
pouse				
pouse				
pouse				
pouse	JCATION:			
pouse pouse	Name of School	Location	Dates Attended	Graduate
pouse  pouse  4. EDU	Name of School Parkman Middle School	Woodland Hills, CA	1976-1979	Graduate Yes X No □
pouse  pouse  4. EDU  ammar chool gh	Name of School Parkman Middle School Taft High School	Woodland Hills, CA Woodland Hills, CA	1976-1979 1979-1982	
pouse  pouse  4. EDU  rammar chool gh chool ollege	Name of School Parkman Middle School	Woodland Hills, CA Woodland Hills, CA	1976-1979 1979-1982	Yes X No □
pouse  pouse  4. EDU  rammar chool gh chool ollege niversity	Name of School Parkman Middle School Taft High School	Woodland Hills, CA Woodland Hills, CA	1976-1979 1979-1982	Yes X No ☐ Yes X No ☐ Yes X No ☐
pouse  pouse  4. EDU  rammar chool igh chool ollege niversity	Name of School Parkman Middle School Taft High School University of Southern Califo	Woodland Hills, CA Woodland Hills, CA rnia School of Pharmacy	1976-1979 1979-1982	Yes X No ☐  Yes X No ☐  Yes X No ☐  Yes X No ☐  Yes No ☐
pouse  pouse  pouse  4. EDU  rammar chool igh chool ollege niversity ther	Name of School Parkman Middle School Taft High School University of Southern Califo	Woodland Hills, CA Woodland Hills, CA rnia School of Pharmacy	1976-1979 1979-1982	Yes X No  Yes X Yes X Yes X Yes Yes X Yes Yes X Yes
pouse  pouse  pouse  4. EDU  rammar chool igh chool ollege niversity ther	Name of School Parkman Middle School Taft High School University of Southern Califo	Woodland Hills, CA Woodland Hills, CA rnia School of Pharmacy	1976-1979 1979-1982	Yes X No  Yes X Yes X Yes X Yes Yes X Yes Yes X Yes

### **5 MILITARY INFORMATION:**

A.	Have you ever served in any armed force	? Yes 🗆 No	οХ	
	Branch	Date of entry-active	e service	
	Date of separation	Type of discharge	••••••	•••••
	Rating at separation	Serial num	ber	•••••
	While in the military service were you eve special or general court martial?  regardless of where they occurred-foreign	es 🗌 No 🗆 If ves. furni	hich resulted in su ish details on page	mmary action, a trial or 10. (List all incidents
B.	Have you registered for the draft?	es 🗆 No 🗆		
	CountyState	Da	ate registered	
6. AI	RRESTS, DETENTIONS, LITIGATIONS AN	D ARBITRATIONS: (Inc	lude those arrests	s in which you were
A.	not convicted.) Have you ever been arrested, detained, c violation for any reason whatsoever, regar Yes □ No X If yes, give details in space	dless of the disposition of	the event? (Excep	t minor traffic citations.)
Date of /	Arrest Age Charge L	cation-City and State	Deposition/Date	Arresting Agency
B.	Has a criminal indictment, information or carrested or in which you were named as a	omplaint ever been return unindicted co-party? Ye	ed against you, bu es □ No X If yes	t for which you were no . furnish details on
C.	page 10. Have you ever been questioned or depose or committee? Yes □ No X	d by a city, state, federal	or law enforcemen	t agency, commission
D.	Have you ever been subpoenaed to appear commission? Yes ☐ No X	r or testify before a federa	al, state or county o	grand jury, board or
E.	Have you ever been subpoenaed to testify Yes □ No X	for any civil, criminal or a	dministrative proce	eding or hearing?
F.	Have you ever had a civil or criminal recorl f yes, when?	d expunged or sealed by a	a court order? Yes	□ No X
G.	Have you ever received a pardon or defer	ed prosecution for any cri	minal offense? Yes	s □ No X
H.	If yes when? Has any member of your family or of your If you answer to any of the above question	city, county and sta spouse's family ever been s (B through H) is yes, fur	convicted of a feld	ony? Yes □ No X
Name	Relationship	Charge	Loc	ation Date
				200
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# ARRESTS, DETENTIONS, LITIGATIONS AND ARBITRATIONS-Continued

Yes ⊔ No X	(Other than d	divorces)	r an arbitration as either a	
If yes, give der	tails below. Lis	st all cases without ex	ception, including bankrup	otcies:
aintiff/Defendant or aimant/Respondent	Date Filed	Court and Case Number	City, County and State	Disposition/Date
		=		
associated with	h it as an owne	business venture, so er, officer, director or p ete the following:	le proprietorship or closely partner) been a party to a l	/ held corporation (while you wasuit, arbitration or bankrupt
Name of Entity		Type of Entity		roximate Date(s) of /suit/Arbitration/Bankruptcy
RESIDENCES:				
all residences you	have had for th	ne last 25 years:		
th and Year rom-To)	Street	and Number	City	State or County
th and Year rom-To)	Street			State or County
th and Year rom-To)	Street	and Number		State or County
th and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
h and Year rom-To)	Street	and Number		State or County
th and Year rom-To)	Street	and Number		State or County
th and Year rom-To)	Street	and Number		State or County
th and Year rom-To)	Street	and Number		State or County
th and Year rom-To)	Street	and Number		State or County
th and Year From-To) b 1999 to Present	Street	and Number		State or County

#### 8. EMPLOYMENT:

Beginning with your current employment, list your work history, all businesses with which you have been involved, and/or all periods of unemployment since 18 years of age. Also, list all corporations, partnerships or any other business ventures with which you have been associated as an officer, director, stockholder or related capacity. Secura Bio 1995 Village center circle, Las Vegas, NV 89134 March 2019-Present Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Sr. Director, Medical Affairs Medical education, investigator-initated trials Bill Davis Description of Duties Name of Supervisor Jan 1993 - March 2019 Amgen 1 Amgen Center Drive, Thousand Oaks, CA 91320 **New Opportunity** Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Director Multiple responsibilities from clinical trials to reimbursement access & Value Title Description of Duties Name of Supervisor \_Bristol Meyers Squibb, Plainsboro, NJ July 1991 - Dec 1992 **New Opportunity** Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Manager Clinical trials Title Description of Duties Name of Supervisor July 1989 - July 1991 UCLA Medical center, Los Angeles, CA Month and Year Name/Mailing Address of Employer/Business Reason for Leaving **Pharmacist** Clinical Pharmacist Title Description of Duties Name of Supervisor July 1988 - July 1989 VA Long Beach Medical Center Month and Year Name/Mailing Address of Employer/Business Reason for Leaving **Pharmacist** Clinical Pharmacist Title Description of Duties Name of Supervisor Sep1985 - Jun 1988 Cedars-Sinai Medical Center Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Intern Pharmacist Clinical Pharmacist Title Description of Duties Name of Supervisor Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Title Description of Duties Name of Supervisor Month and Year Name/Mailing Address of Employer/Business Reason for Leaving Title Description of Duties

If additional space is needed, continue on page 10 or provide attachment.

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Name of Supervisor

### 9. CHARACTER REFERENCES:

Name of V	employer or er Where Employed	Street	City	State	Zip T	Telephone Years	Known
Vame		Home	Ony	Otato	ΣΙΣΙ	ciepitorie Tears	KIIDWII
mployer		Business			-	<u></u>	
lame		Home					
mployer		Business					
lame		Home					
mployer		Business					
ame		Home					
mployer		Business					
ame		Home					
mployer		Business					
ox Numb	per or Type of Depo	ository	Location		City and State	Authorized Users	
	the following: Liquor Doctor Accountant Yes X No □	Lawyer Contractor Pilot	Race h Real es Sports	orse/rad state bro promote	ce dog owner oker or salesman	se in any state, including but Securities dealer Barber/Cosmetologist Trainer or manager	Insurance Gaming
	the following: Liquor Doctor Accountant	Lawyer Contractor Pilot	Race h Real es Sports	orse/rad state bro promote	ce dog owner oker or salesman er	Securities dealer Barber/Cosmetologis Trainer or manager	Insurance d Gaming Educator
	the following: Liquor Doctor Accountant Yes X No □ If yes, state typ	Lawyer Contractor Pilot e, where and y	Race h Real e Sports ears held ey, 1988 t	orse/rad state bro promote	ce dog owner oker or salesman er	Securities dealer Barber/Cosmetologis	Insurance d Gaming Educator

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13.	any reason whatsoever? Yes □ No X	y or similar authority in or outside the State of Nevada for			
14.	Have you ever been denied a personal license, permit, certificate or registration for a privileged, occupational or professional activity? Yes □ No X				
	to the above, state where, when and for what reason:				
15.	participant in any group which has been denied a bus suitability?	icense or related finding of suitability or been a siness or industry license or related finding of Yes □ No X			
16.	Have you or any person with whom you have been a administrative action or proceeding relating to the ph	participant in any group been the subject of an armaceutical industry? Yes □ No X			
17.	Have you or any person with whom you have been a guilty or entered a plea of nolo contendere to any offe controlled substances?	participant in any group ever been found guilty, plead ense, federal or state, related to prescription drugs and/or Yes □ No X			
18.	Have you or any person with whom you have been a permit or certificate of registration relating to the phar upon voluntary close of a manufacturer	participant in any group ever surrendered a license, rmaceutical industry voluntarily or otherwise (other than Yes □ No X			
19.	Do you have any relatives within the fourth degree of pharmaceutical or drug related industry?	f consanguinity associated with or employed in the Yes ☐ No X			
	······································				
*********					
	*				
		Date of photograph 5/30/2019			
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STATE OF	
	SS.
COUNTY OF Cook	
1. Debra L LITWAK	, being duly sworn, depose and say I have read the
foregoing application and know the contents thereof; that	the statements contained herein are true and correct and
	ed; that I executed this statement with the knowledge that
	ed may be deemed sufficient case for denial or revocation of
a manufacturer license; that I am voluntarily submitting th	
Statutes 639.210 (10) provides denial or revocation of the	
	ned any certificate, certification, license or permit by the filing
of an application, or any record, affidavit or other informat	
	f Nevada Statutes on Pharmacists and Manufacturer and the
Controlled Substances Act, as amended, and the Regulat	
promulgated thereunder and agree, if licensed, to abide the	
I hereby expressly waive, release and forever dis-	charge the State of Nevada, the licensing agency and their
	action whatsoever which I, my administrators or executors
	censing agency and their agents, as a result of my applying
for a manufacturer license in the State of Nevada.	5 ,,,,,,,,
	Dulle et Rutual Original Signature of Applicant
Subscribed and Sworn to before me this 30	day of May, 2019
Ng ary Flublic	eri e estat estatur estatur.
	Seal) OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires December 11, 2021
	trans.

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